

Electronic Acknowledgement Receipt

EFS ID:	1939862
Application Number:	09911772
International Application Number:	
Confirmation Number:	3927
Title of Invention:	Securities market and market maker activity tracking system and method
First Named Inventor/Applicant Name:	Stephen Cutler
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Filer:	Morris David Galin
Filer Authorized By:	
Attorney Docket Number:	CUTLP0102US
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed	CUTLP0102USIDS2.pdf	705402 f823476913ac052a5d17f211558f504e67d4114a	no	4

Warnings:

Information:

2	NPL Documents	CUTSP0102USA_ExcelReference.pdf	278874 38270c439cab81a5d631f1f760ee004c789d03f28	no	5
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Warnings:

Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	8203 3464b6f5cb0bd77e5f68909376822967ddc9075e	no	2
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Warnings:

Information:

Total Files Size (in bytes):			992479
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.